



PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|                                                                                         |                      |                        |        |
|-----------------------------------------------------------------------------------------|----------------------|------------------------|--------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number   | 10/654,766             |        |
|                                                                                         | Filing Date          | September 4, 2003      |        |
|                                                                                         | First Named Inventor | Black, David           |        |
|                                                                                         | Art Unit             | 3629                   |        |
|                                                                                         | Examiner Name        | Not yet assigned       |        |
| Total Number of Pages in This Submission                                                | 7                    | Attorney Docket Number | 2967/1 |

| ENCLOSURES (Check all that apply)                                               |                                                                                            |                                                                                         |
|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| <input type="checkbox"/> Fee Transmittal Form                                   | <input type="checkbox"/> Drawing(s)                                                        | <input type="checkbox"/> After Allowance communication to Technology Center (TC)        |
| <input type="checkbox"/> Fee Attached                                           | <input type="checkbox"/> Licensing-related Papers                                          | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                        | <input type="checkbox"/> Petition                                                          | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final                                            | <input type="checkbox"/> Petition to Convert to a Provisional Application                  | <input type="checkbox"/> Proprietary Information                                        |
| <input type="checkbox"/> Affidavits/declaration(s)                              | <input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address | <input type="checkbox"/> Status Letter                                                  |
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| <input type="checkbox"/> Certified Copy of Priority Document(s)                 | <b>Remarks</b><br>Please charge any applicable fees to Deposit Acct.No. 01-0265            |                                                                                         |
| <input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application   |                                                                                            |                                                                                         |
| <input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 |                                                                                            |                                                                                         |
| <b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>                               |                                                                                            |                                                                                         |
| Firm or Individual name                                                         | Brandon C. Trego, Reg. No. 53,702                                                          |                                                                                         |
| Signature                                                                       | <i>Brandon C. Trego</i>                                                                    |                                                                                         |
| Date                                                                            | January 14, 2004                                                                           |                                                                                         |

| CERTIFICATE OF TRANSMISSION/MAILING                                                                                                                                                                                                                                                                           |                     |                |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|----------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |                     |                |
| Typed or printed name                                                                                                                                                                                                                                                                                         | Karen Walker        |                |
| Signature                                                                                                                                                                                                                                                                                                     | <i>Karen Walker</i> | Date 1/14/2004 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|              |   |                           |                  |      |
|--------------|---|---------------------------|------------------|------|
| Appl. No.    | : | 10/654,766                | Confirmation No. | 6919 |
| Applicant    | : | Black, David              |                  |      |
| Filed:       | : | September 4, 2003         |                  |      |
| Title        | : | Optical Disk Trading Card |                  |      |
| TC/A.U.      | : | 3629                      |                  |      |
| Examiner     | : | Not Yet Assigned          |                  |      |
| <br>         |   |                           |                  |      |
| Docket No.   | : | 2967/1                    |                  |      |
| Customer No. | : | 23638                     |                  |      |

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Attn: Group Art Unit 3629  
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**INFORMATION DISCLOSURE STATEMENT**

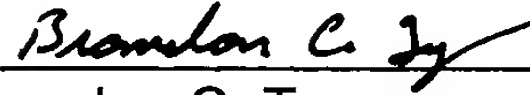
Sir: .

In compliance with 37 C.F.R. Section 1.56 and pursuant to 37 C.F.R. Section 1.98(d), Applicant hereby submits the references as listed on the enclosed PTO form 1449 for consideration by the Examiner in the prosecution of the subject application:

Pursuant to M.P.E.P. §609, the Applicant requests that the references cited on the enclosed PTO Form 1449 be listed on the cover sheet of any patent issuing on the present application.

If there are any fees due in connection with this matter, please charge Applicant's Deposit Account No. 01-0265. A duplicate of this paper is being submitted for this purpose.

Respectfully submitted,



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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

|                        |                   |
|------------------------|-------------------|
| Application Number     | 10/654,766        |
| Filing Date            | September 4, 2003 |
| First Named Inventor   | Black, David      |
| Art Unit               | 3629              |
| Examiner Name          | Not yet assigned  |
| Attorney Docket Number | 2967/1            |

Sheet 1 of 1

**NON PATENT LITERATURE DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|-----------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
|                       | 1                        | This is a sample electronic trading card produced by the Applicant, David Black; Nascards 2001, 1 page                                                                                                                                                          |                |
|                       |                          |                                                                                                                                                                                                                                                                 |                |
|                       |                          |                                                                                                                                                                                                                                                                 |                |
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|                       |                          |                                                                                                                                                                                                                                                                 |                |

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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